

Last time: • Defined Unique Factorization (integral) Domains (UFDs)

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Fr  
37.1

• Proved: any PID is a UFD

In particular, if field  $F$ ,  $F[x]$  is a UFD: any polynomial with coefficients in  $F$  can be written uniquely (up to order and multiplication by nonzero scalars) as a product of irreducibles.

Recall: if  $K, L$  are two fields and  $K \subseteq L$  then  $K$  is a subfield of  $L$  and  $L$  is an extension of  $K$ .

Definition: The degree  $[L:K]$  of an extension  $K \subseteq L$  is the dimension of  $L$  as a vector space over  $K$ .

$$[L:K] = \dim_K(L).$$

Ex  $[C:\mathbb{R}] = 2$ ,  $[\mathbb{R}:\mathbb{Q}] = \infty$

Ex Let  $F$  be a field,  $f \in F[x]$  irreducible,  $E = F[x]/(f)$ . Then  $[E:F] = \dim_F(F[x]/(f)) = \deg f$ .

Definition: Let  $F \subseteq L$  be a field extension.  $\alpha \in L$  is algebraic over  $F$  if  $\exists^* p(x) \in F[x]$  s.t.  $p(\alpha) = 0$  (in  $L$ ).

Ex  $\sqrt{2} \in \mathbb{R}$  is algebraic over  $\mathbb{Q}$ :

$$\sqrt{2} \text{ is a root of } x^2 - 2 \in \mathbb{Q}[x].$$

Ex  $\sqrt[3]{4} \in \mathbb{R}$  is algebraic over  $\mathbb{Q}$ :

$$\sqrt[3]{4} \text{ is a root of } x^3 - 4 \in \mathbb{Q}[x].$$

Ex For any field  $F$ ,  $\alpha \in F$  is algebraic over  $F$ :  
 $\alpha$  is a root of  $x - \alpha \in F[x]$ .

Ex  $i = \sqrt{-1} \in \mathbb{C}$  is algebraic over  $\mathbb{Q}$ ; it's a root of  $x^2 + 1 \in \mathbb{Q}[x]$ .

Ex Let  $F$  be a field,  $f(x) = a_0 + a_1 x + \dots + a_n x^n \in F[x]$  irreducible.  
Then  $\alpha = x + \langle f \rangle \in E := F[x]/\langle f \rangle$  is algebraic over  $F$ :  
 $a_0 + a_1(x + \langle f \rangle) + \dots + a_n(x + \langle f \rangle)^n = (a_0 + a_1x + \dots + a_nx^n) + \langle f \rangle = 0 + \langle f \rangle$   
 $\Rightarrow \alpha$  is a root of  $f(x) \in F[x]$ .

Theorem 37.1 Let  $F \subseteq E$  be a field extension.  $\alpha \in E$  is algebraic over  $F \Leftrightarrow \exists$  a field  $K$  s.t.  $F \subseteq K \subseteq E$  and  $[K:F] \text{ is finite}$ .  
Moreover

- 1) The ideal  $\{p \in F[x] \mid p(\alpha) = 0\} = \langle f \rangle$  for some irreducible polynomial  $f \in F[x]$
- 2) The set  $F[\alpha] := \{a_0 + a_1\alpha + \dots + a_n\alpha^n \mid n \geq 0, a_0, \dots, a_n \in F\} \subseteq E$  is the smallest subfield of  $E$  containing  $F$  and  $\alpha$ .
- 3)  $[F(\alpha):F] = \deg f$ .

Proof ( $\Leftarrow$ ) Suppose  $F \subseteq K \subseteq E$  and  $[K:F] = \dim_F K = n$ .

Consider  $\{1, \alpha, \alpha^2, \dots, \alpha^n\}$ . If  $\alpha^i = \alpha^j$  for some  $0 \leq i < j \leq n$   
then  $\alpha^{j-i} = 1$ .  $\Rightarrow \alpha$  is a root of  $x^{j-i} - 1 = 0$ .

Otherwise  $\{1, \alpha, \dots, \alpha^n\}$  has  $n+1 \geq \dim_F K$  elements  
 $\Rightarrow$  the set  $\{1, \alpha, \dots, \alpha^n\}$  is linearly dependent over  $F$ :  
 $\exists a_0, \dots, a_n \in F$  s.t.  $a_0 \cdot 1 + a_1\alpha + \dots + a_n\alpha^n = 0$   
 $\Rightarrow \alpha$  is a root of  $p(x) = a_0 + a_1x + \dots + a_nx^n \in F[x]$ .  
 $\Rightarrow \alpha$  is algebraic over  $F$ .

$\Rightarrow$  Suppose  $\alpha \in E$  is algebraic over  $F$ . Then  $\exists q \in F[x], q \neq 0$

st.  $q(\alpha) = 0$ . Consider the homomorphism

$$\varphi: F[x] \rightarrow E, \varphi(p) = p(\alpha).$$

Since  $q \in \ker \varphi$  and  $q \neq 0$ ,  $\ker \varphi \neq 0$ .

$$\text{Im } \varphi = \{ p(\alpha) \mid p \in F[x] \} = F[\alpha].$$

Since  $\varphi$  is a ring homomorphism,  $F[\alpha]$  is a subring of  $E$ .

Since  $E$  is a field,  $F[\alpha]$  is an integral domain.

By 1st iso theorem  $F[\alpha] \cong F[x]/\ker \varphi$ .

$\Rightarrow$  Since  $F[\alpha]$  is an integral domain,  $\ker \varphi$  is prime.

Since  $F[x]$  is a PID,  $\ker \varphi = \langle f \rangle$  for some  $f \in F[x]$ .

In  $F[x]$ , primes = irreducibles and ideals generated by irreducibles are maximal.  $\Rightarrow F[\alpha] = F[x]/\langle f \rangle$

is a field.

Moreover, if  $K \subseteq E$  is any subfield with  $F \subseteq K$  and  $\alpha \in K$

then  $\forall a_0, \dots, a_n \in F, a_0 + a_1 \alpha + \dots + a_n \alpha^n \in K$ .

$$\Rightarrow F[\alpha] \subseteq K.$$

$\therefore F[\alpha]$  is the smallest subfield of  $E$  containing  $F$  and  $\alpha$ .

$$\text{Finally } [F[\alpha]:F] = [F[x]/\langle f \rangle : F] = \deg f. \quad \square$$

Definition If  $F \subseteq E$  and  $\alpha \in E$  is algebraic over  $F$

then  $f \in F[x]$  with  $\langle f \rangle = \{ p \in F[x] \mid p(\alpha) = 0 \}$

is called (the) minimal polynomial of  $\alpha$ .

$f$  is unique up to multiplication by units.

Note If  $p \in F[x]$  is an irreducible polynomial with  $p(\alpha) = 0$

then  $p \in \langle f \rangle \Rightarrow f \mid p$ . But  $p$  is irreducible  $\Rightarrow p = \text{unit. } f$ .

So, we may regard  $p$  as "the" irreducible polynomial of  $\alpha$ .

Remark: If  $p(x) \in F[x]$ ,  $\deg p = 2$  or  $3$  and  $p$  has no roots in  $F$  then  $p$  is irreducible. This is because if

$$\Rightarrow p(x) = h(x) \cdot g(x) \quad \text{and } \deg h, \deg g < \deg p$$

Then one of  $h(x), g(x)$  has degree  $1$ .

Now if  $\deg h = 1$ ,  $h(x) = a_0 + a_1 x$ :

$\Rightarrow \exists \alpha \in F \text{ s.t. } \alpha \text{ is a root of } h.$  Contradiction.

Ex:  $x^2 - 2 \in \mathbb{Q}[x]$  is irreducible since  $\nexists \alpha \in \mathbb{Q}$

with  $\alpha^2 = 2$ .  $\Rightarrow x^2 - 2$  is "the" minimal polynomial of  $\sqrt{2} \in \mathbb{R}$ .

$\Rightarrow x^3 - 2 \in \mathbb{Q}[x]$  is irreducible since  $\nexists \beta \in \mathbb{Q}$  st

$\beta^3 = 2$ .  $\Rightarrow x^3 - 2$  is "the" minimal polynomial of  $\sqrt[3]{2} \in \mathbb{R}$ .

Q. Is  $\sqrt[3]{2} \in \mathbb{Q}[\sqrt{2}]$ ?

A. If  $\sqrt[3]{2} \in \mathbb{Q}[\sqrt{2}]$ , then  $\mathbb{Q}[\sqrt[3]{2}] \subseteq \mathbb{Q}[\sqrt{2}]$

$$\dim_{\mathbb{Q}}(\mathbb{Q}[\sqrt[3]{2}]) = \deg(x^3 - 2) = 3, \quad \dim_{\mathbb{Q}}(\mathbb{Q}[\sqrt{2}]) = \deg(x^2 - 2) = 2$$

Since  $3 \neq 2$ ,  $\mathbb{Q}[\sqrt{2}] \not\subseteq \mathbb{Q}[\sqrt{2}]$

$$\Rightarrow \sqrt[3]{2} \notin \mathbb{Q}[\sqrt{2}]$$